

draft UDC STRATEGIC AUDIT PLAN 2008/09					
Chief Auditee	ref	Audit	Risk	Quarter	Allocated Days
corporate audits					
Adrian Webb	CORP01	corporate income	3	1	20
John Mitchell	CORP02	information management	3	1	15
Adrian Webb	CORP03	reconciliations	3	1	15
Alaine Clarke	CORP04	BVPI	2	1	10
Adrian Webb	CORP05	budget monitoring and reporting	4	2	15
Adrian Webb	CORP06	IT audit	3	2	10
Michael Perry	CORP07	contracts	2	2	10
Adrian Webb	CORP08	corporate budget	4	3	20
Adrian Webb	CORP09	asset management	2	4	15
operational audits					
Ron Pridham	OP01	Refuse & recycling	3	1	17
Roz Millership	OP02	Housing Contract Systems	2	1	12
Adrian Webb	OP03	Car loans & leasing	1	1	15
Adrian Webb	OP04	Creditors & VAT	4	2	20
John Mitchell	OP05	Development control	3	2	15
Roz Millership	OP06	Housing repairs & stores	3	2	12
Adrian Webb	OP07	Insurance	3	2	10
Michael Perry	OP08	Legal Services	1	2	12
Roz Millership	OP09	Rents	4	3	15
Simon Martin	OP10	Business rates	4	3	15
Simon Martin	OP11	Council tax	4	3	15
Adrian Webb	OP12	Treasury management	4	3	10
Michael Perry	OP13	Licensing	2	3	12
Richard Auty	OP14	Museum	2	3	12
Richard Auty	OP15	Elections	1	3	10
Simon Martin	OP16	Facilities Management	1	3	10
Simon Martin	OP17	Housing benefits	4	4	20
Adrian Webb	OP18	Payroll, allowances & expenses	4	4	20
Simon Martin	OP19	Sundry Debtors	4	4	15
Simon Martin	OP20	Customer Services	4	4	12
Michael Perry	OP21	Local land charges	2	4	11
					420

Audit	Risk	2008/09	2009/10	2010/11	2011/12	2012/13
Housing benefits	4	20	15	20	15	20
Payroll, allowances & expenses	4	20	15	20	15	20
Creditors & VAT	4	20	15	20	15	20
Rents	4	15	20	15	20	15
Business rates	4	15	12	15	12	15
Council tax	4	15	12	15	12	15
Sundry Debtors	4	15	12	15	12	15
Customer Services	4	12	15	12	15	12
Treasury management	4	10	10	10	10	10
Refuse & recycling	3	17	0	20	0	20
Housing repairs & stores	3	12	0	15	0	15
Development control	3	15	0	15	0	15
Insurance	3	10	10	10	10	10
Licensing	2	12	0	15	0	15
Local land charges	2	11	0	0	12	0
Museum	2	12	0	0	10	0
Housing Contract Systems	2	12	0	0	12	0
Car parks	2	0	15	0	15	0
Housing allocations	2	0	15	0	15	0
Public Health	2	0	15	0	15	0
Procurement	2	0	15	0	15	0
Emergency planning	2	0	12	0	12	0
Homelessness	2	0	12	0	12	0
Enforcement	2	0	12	0	0	12
Conservation & enhancement	2	0	12	0	0	12
Utility payments	2	0	12	0	0	0
Building Control Service	2	0	12	0	0	12
Human Resources	2	0	0	15	0	15
Community safety	2	0	0	12	0	0
Leisure (incl sports development)	2	0	0	12	0	0
Energy Efficiency	2	0	0	12	0	0
Car loans & leasing	1	15	0	0	0	0
Legal Services	1	12	0	0	0	0
Elections	1	10	0	0	0	0
Facilities Management	1	10	0	0	0	0
Planning fees	1	0	15	0	0	0
Arts & Youth	1	0	12	0	0	0
Street cleaning	1	0	12	0	0	0
House sales	1	0	10	0	0	0
Renovation grants	1	0	0	15	0	0
Buildings cleaning and Civic Suite management	1	0	0	12	0	0
Grants	1	0	0	12	0	0
Concessionary fares	1	0	0	12	0	0
Street Naming and Numbering	1	0	0	10	0	0
Member's allowances	1	0	0	0	15	0
Cemetery	1	0	0	0	12	0
Vehicle maintenance	1	0	0	0	12	0
Transport & plant utilisation	1	0	0	0	12	0
Electoral registration	1	0	0	0	12	0
Printing Stationery	1	0	0	0	10	0
Building control fees	1	0	0	0	0	15
Grounds maintenance	1	0	0	0	0	12
Septic tank emptying	1	0	0	0	0	12
Elderly persons	1	0	0	0	0	12
Mailroom	1	0	0	0	0	10
		290	317	329	327	329
corporate budget	4	20	15	10	10	10
budget monitoring and reporting	4	15	10	10	10	10
corporate income	3	20	10	10	10	10
information management	3	15	15	10	10	10
reconciliations	3	15	10	10	10	10
IT audit	3	10	15	10	10	15
asset management	2	15	10	15	10	10
BVPI	2	10	10	10	10	10
contracts	2	10	15	10	15	10
		130	110	95	95	95
		420	427	424	422	424